# WatchDOG<sup>®</sup> Elite

Streamline and consolidate your screening process with CSI's cloud-based sanctions screening services.

Read more



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### A Compliance Partner that Understands Your Needs

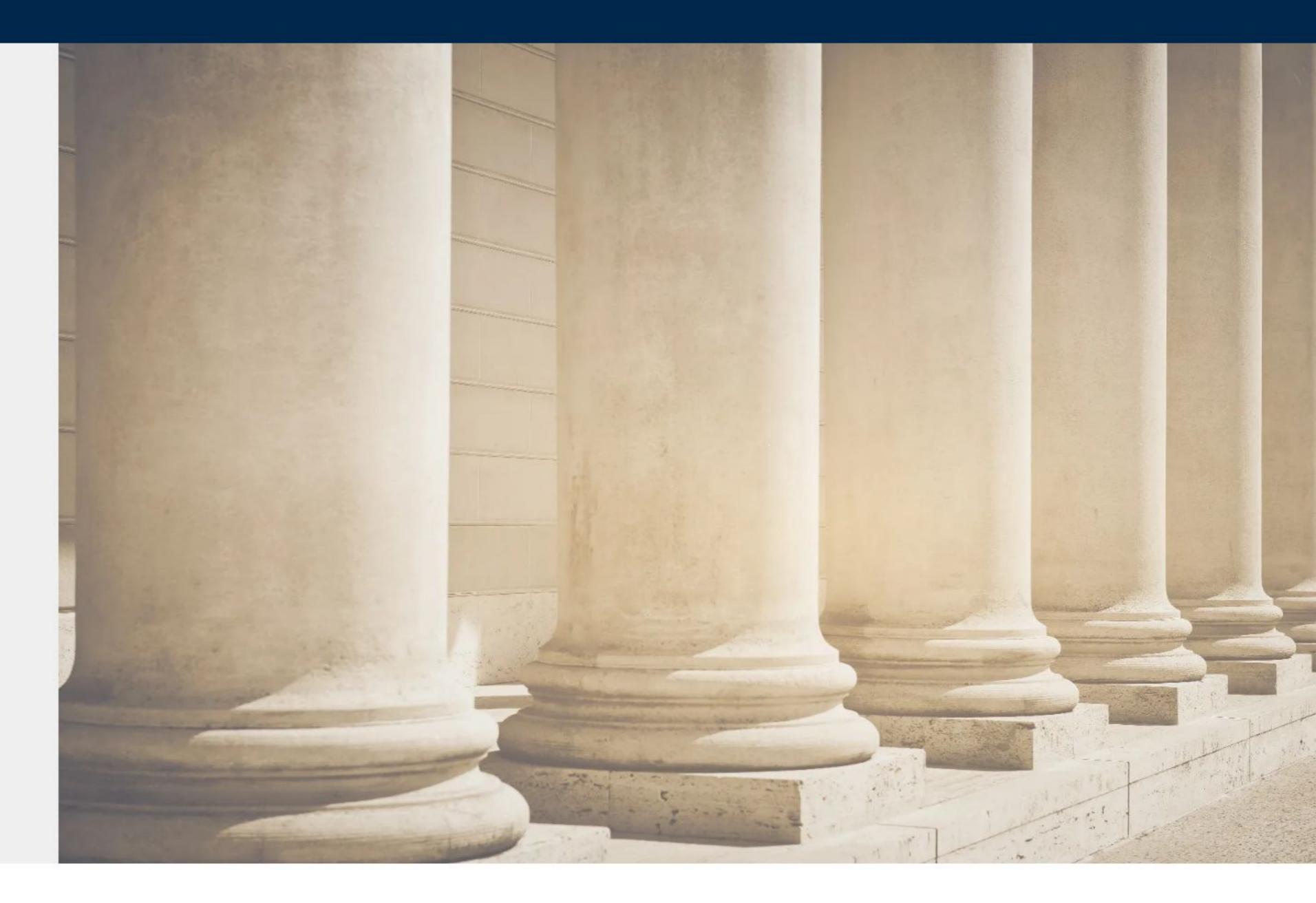
### As technology continues to influence the way financial institutions and other organizations conduct business, the need for efficient and dependable watch list screening increases exponentially. Given the growing complexity of the current regulatory environment, institutions must realize what's at stake when they violate watch list sanctions—and how to mitigate that risk.

At CSI, we partner with institutions of all sizes to navigate the evolving regulatory landscape, stay ahead of new threats, identify bad actors, manage politically exposed persons (PEP) and adverse media screening and ensure compliance with current federal regulations, including USA PATRIOT Act, Gramm-Leach-Bliley Act, BSA/AML and many more. Our priority is protecting your organization's integrity with the latest tools like artificial intelligence (AI) and machine learning while keeping your data secure.

### Your Partner for Navigating the Evolving Regulatory Landscape

For many institutions, compliance may involve multiple disparate departments that rely on siloed business applications encompassing multiple customer and vendor datasets. Oftentimes, separate departments and business functions cannot—or do not communicate, making effective risk management even harder due to the lack of shared data or even a lack of attention to compliance at all.

What's more, your institution must keep up with the latest requirements, including screening against watch lists associated with the Office of Foreign Assets Control (OFAC), the USA PATRIOT Act or the U.S. Treasury Department—in addition to any state regulations that may exist. A holistic view of compliance should be the goal, with an automated compliance solution that seamlessly integrates into your existing technology ecosystem.



CSI's WatchDOG<sup>®</sup> Elite provides the foundation for a modern sanctions compliance program.

1998

CSI has provided a watch list screening solution since 1998.

1,500

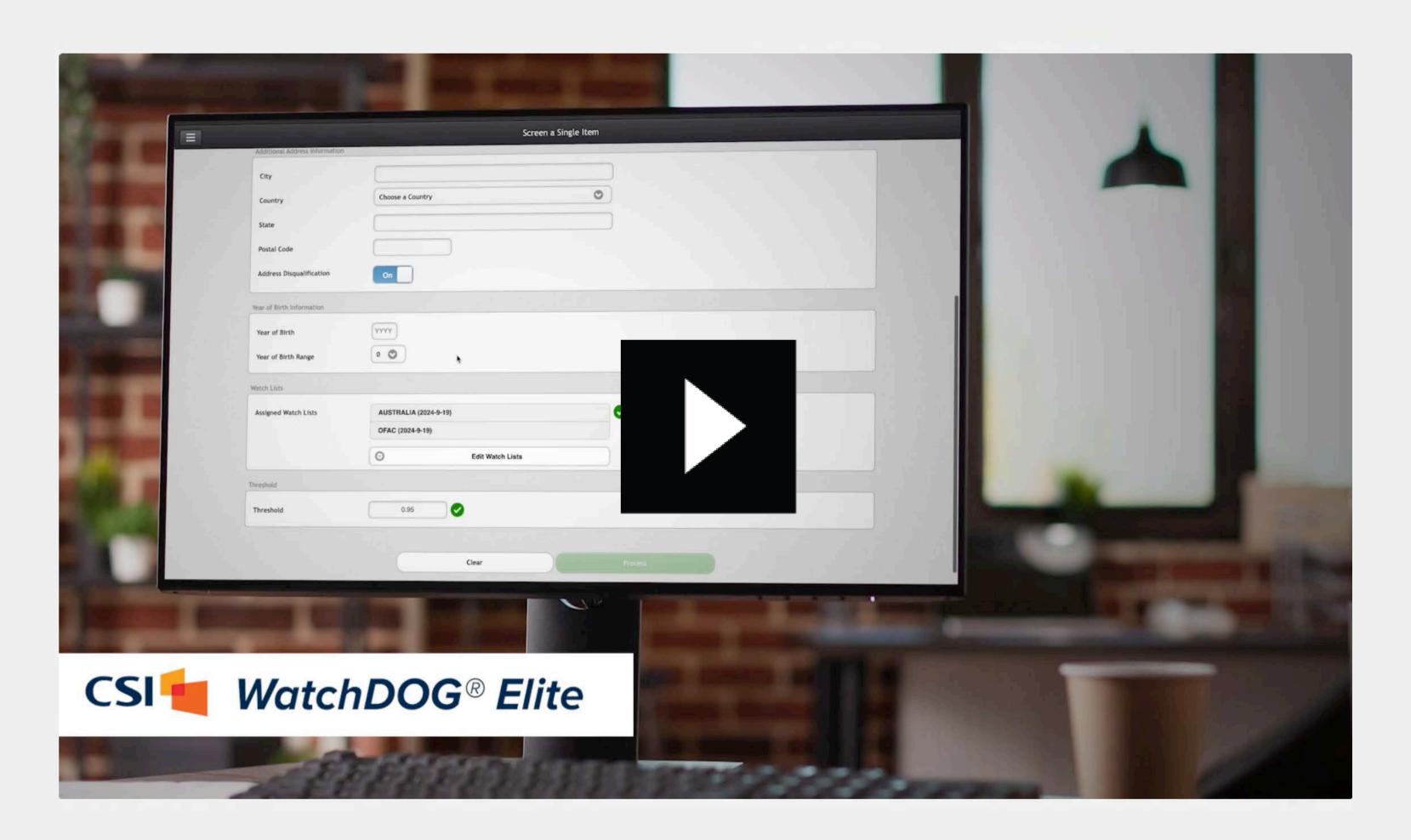
Over 1,500 banks, credit unions, insurance companies, REITs, money service and leisure service companies partner with us for watch list screening. 40+

To maximize compliance for organizations in various industries, CSI allows you to screen against more than 40 prepackaged watch lists.



### Let's Streamline Your Screening

Our sanctions screening platform—WatchDOG® Elite streamlines and consolidates the watch list screening process by combining an advanced search algorithm with the latest technology and process automation, thereby alleviating the burden of monitoring, gathering, cleansing and deploying list updates.



Our WatchDOG Elite platform generates fewer false positives and increased efficiencies across your organization.

Video: WatchDOG Elite

### An Inside Look into WatchDOG Elite









## Advance Your Customer Onboarding and Compliance

191



#### With CSI's WatchDOG® CIP solution, your institution can simplify and enhance customer onboarding and compliance efforts while scaling to your unique needs and risk profile.

WatchDOG CIP offers API-based KYC capabilities, allowing you to instantly validate customers' information against public databases and national consumer reporting agency files without disturbing your existing workflow.



### **Full-Featured Customer Identity and Verification**

- Perform real-time identity confirmation.
- Provide a red flags checklist for review.
- Store information about customers in the WatchDOG CIP database.

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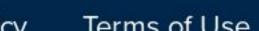
 Enable financial institutions to meet requirements set forth in Section 326 of the USA PATRIOT ACT.

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## **Streamline and Consolidate** Your Screening

WatchDOG Elite integrates all of your customer data into a single screening system, ensuring compliance with OFAC and other international watch lists. Streamline and consolidate your screening by combining an advanced search algorithm with the latest technology.

#### Click on images below to enlarge view.

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0Q2E0000259gw3U	N/A	Vinh Tea	4/1/2024	5871370	8/24/2022	OFAC_SSI	Lee WDElite
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0Q2E0000259gw3U	N/A	Vinh Tea	4/1/2024	5893908	9/5/2022	ENGLAND	Lee WDElite
0Q2E0000259gw3U	N/A	Vinh Tea	4/1/2024	5893908	9/5/2022	OFAC	Lee WDElite
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### **Customer Database Management** (CDM)

- Maintain a master list of customers, vendors and business partners and their screening history.
- Centralize customer information files and automate screening against subscribed watch lists.

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### **Real-time Processing and Single** Lookup

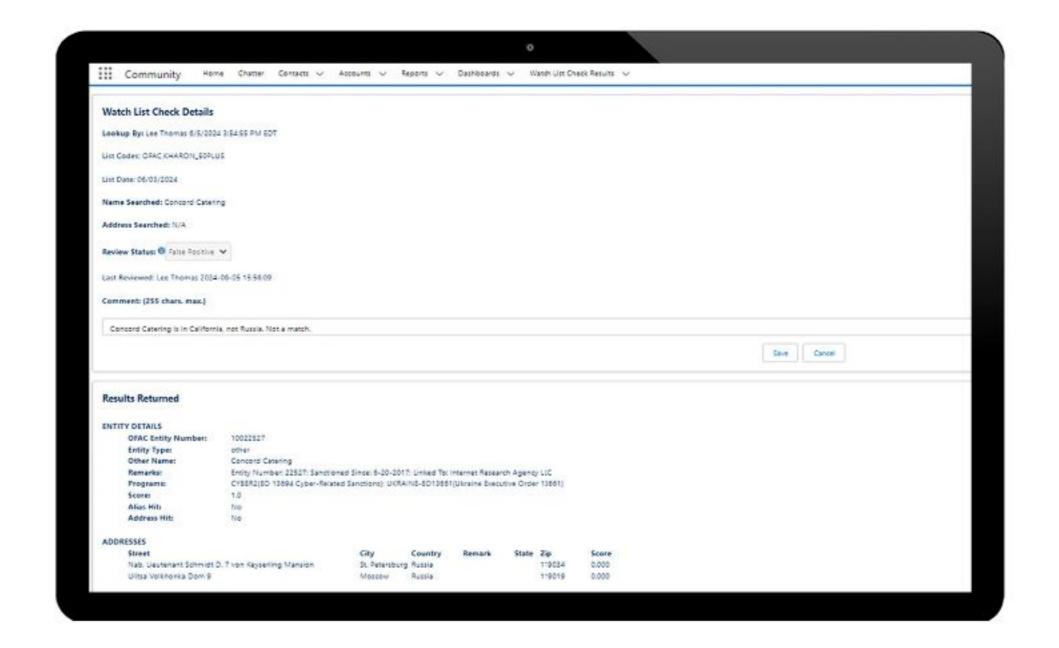
- Detect sanctioned entities, beneficial owners and their linkages in real time.
- Integrations supported with Simple Object Access Protocol (SOAP) API and REST API.

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#### **Batch Processing**

- Screen multiple items in batches.
- Use Secure File Transfer Protocol (SFTP) in batch screening.

- Automate regular rescreening.



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#### **Salesforce Plugin**

- Scan accounts, contacts, leads and opportunities against watch lists provided by CSI via API or batch.
- Store scanned items in the CDM in WatchDOG Elite for rescreening.
- Access an attachment with "Version 3" column data for customers using the on-prem version of WatchDOG Elite or prospects whose anticipated CDM volume prevents them from using Cloud Elite.

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### Exact ID Matching

- Check Social Security numbers against the Social Security Administration's Death Master File (DMF).
- Match National Provider Identifiers (NPI) against the National Plan and Provider Enumeration System (NPPES) registry without needing additional information.
- Disqualify by address, year of birth or country.

<ul> <li>Filter items.</li> </ul>			0
Role harne *	Role Description I	Status e	
ADMIN	WatchDOS Elite Admin Role	Active	0
Audit	Wew activity and run reports	Active	0
Butch Reports	Full reports from batch file scans	Active	0
Junior Admin	No ERM. PNR. VM or base Menagement	Active	0
Level 1 and 2 reviews	Review and workflow	Active	0
WLS	All functionality	Active	0
Workflow	Access workflow items but not review alerts	Active	0

### **User Management**

- Create client-defined roles.
- Have an unlimited number of users or roles.
- Track scans or reviews by user.

### Watch List Selection



# Every institution differs when it comes to their sanctions and compliance policies, the types of business conducted and the markets served. Your institution must determine the appropriate lists to screen based on applicable laws and regulations.

As a trusted provider of watch list screening services, our in-house list team ensures that the quality of the watch lists we provide is precise and accurate. We know the level of scrutiny examiners place on institutions like yours, so our watch list update process involves compiling watch list data, validating it and performing a series of tests to maintain its quality.

### Leverage External or Custom Watch Lists

### **DOWERED BY DOW JONES KHARON**

- Choose from more than 40 prepackaged watch lists, allowing you to screen against FinCEN, Dow Jones, Kharon and other lists.
- Create your own custom list.

Learn more







### Remove the Burden of Manual Screening Tasks



Our Outsourced Watch List Screening Services (OWLS) provide you with access to our in-house team of screening experts to ensure your organization's compliance with OFAC screening requirements, USA PATRIOT Act regulations and other international watch lists. Our OWLS perform first-line reviews, saving you resources by employing experts to review only escalated items instead of all alerts.



### **Outsourced Screening**

- Screen the lists that you designate.
- Identify true alerts.
- Escalate accordingly to ensure all alerts are handled as dictated by your defined policies and procedures.



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# **A Trusted Partner for Fighting Financial Crimes**



CSI is a trusted partner to hundreds of financial institutions and other organizations. When you partner with CSI, our Fintexperts are by your side, from onboarding and setup to continued support and training.



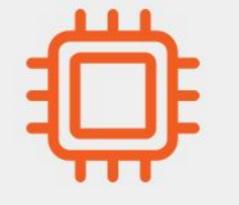
Video: Why CSI?

Watch to discover why CSI customers partner with us for our competitive technologies—and stay with us because of the authentic relationships we build.



#### **Compliance Expertise**

Our team of seasoned compliance professionals has the experience needed to keep your institution compliant and mitigate financial crimes risk in today's threat landscape.



#### Investment in the Latest Tech

We prioritize and invest in technologies—including AI/ML —that enable you to gain an edge on the competition.



#### **Customer-First Mentality**

We understand that every institution has unique needs, and you can trust our commitment to work with you to achieve your goals.

#### Unparalleled Customer Support

96% of our customers would recommend CSI, and our financial crimes solutions provide you with 24/7, U.S.based support.



### About CSI

As a forward-thinking software provider, Computer Services, Inc. (CSI) helps community and regional banks, as well as organizations worldwide, solve their customers' needs through open and agile technologies. In addition to its nearly 60-year reputation for personalized service, CSI is shaping the future of financial crimes detection by swiftly deploying advanced solutions that help its customers rival their competition.

#### **CSI Headquarters:**

3901 Technology Dr. Paducah, KY 42001 800-545-427



### **Elevate Your** Approach to Sanctions Compliance

More than ever, regulators are pressing for solid financial crime detection tools and a robust regulatory compliance framework. As an automated sanctions screening solution, WatchDOG Elite empowers you to simplify your screening process and reduce your risk.

Ready to streamline your compliance? Let's talk about how we'll partner with your institution to modernize and streamline your sanctions program.

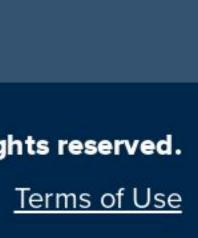


#### Schedule a demo

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Privacy Policy

<u>California Privacy Policy</u>





### **Full-Featured Customer Identity and Verification**

- Perform real-time identity confirmation.
- Provide a red flags checklist for review.
- Store information about customers in the WatchDOG CIP database.

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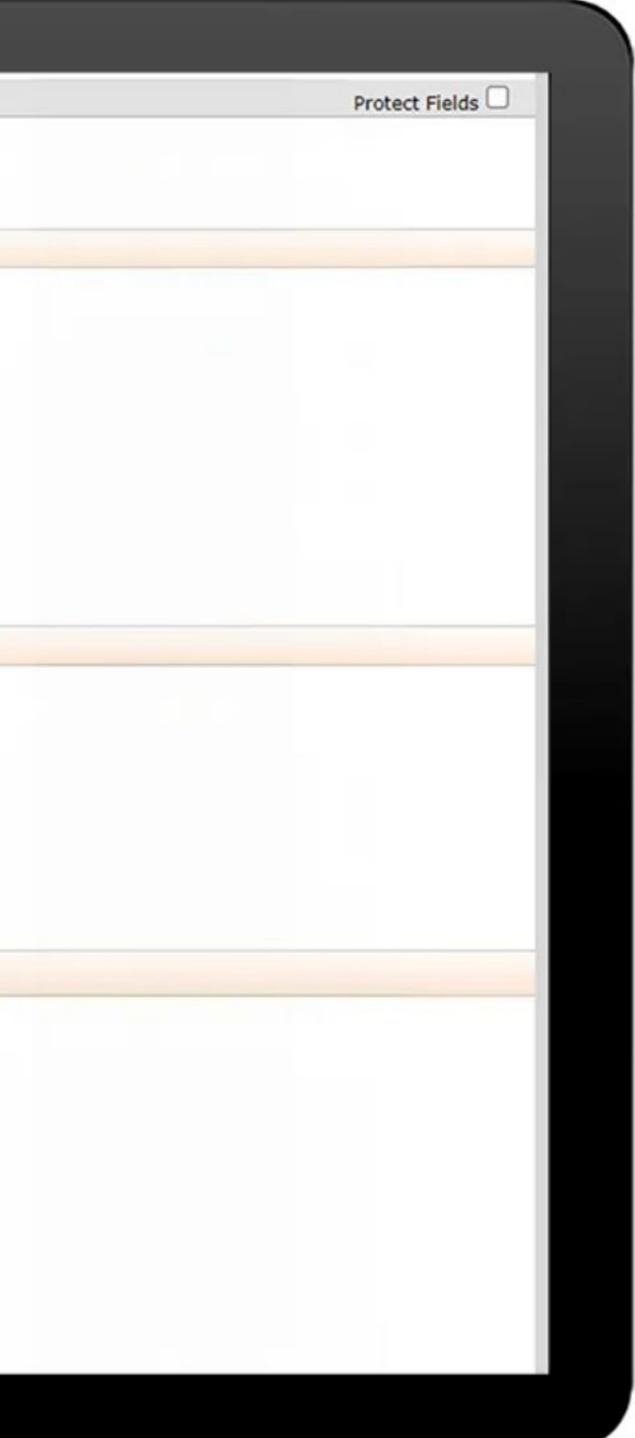
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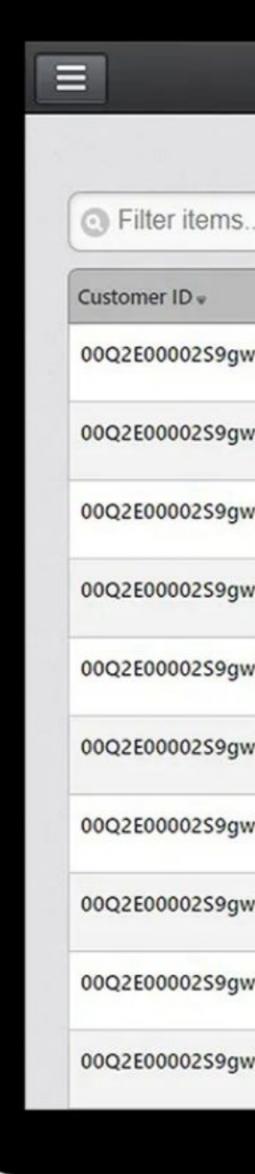
• Enable financial institutions to meet requirements set forth in Section 326 of the USA PATRIOT ACT.

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### **Customer Database Management (CDM)**

- Automate regular rescreening.

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w3U	N/A	Vinh Tea	4/1/2024	5880803	8/29/2022	OFAC_SSI
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w3U	N/A	Vinh Tea	4/1/2024	5893908	9/5/2022	OFAC
w3U	N/A	Vinh Tea	4/1/2024	5893908	9/5/2022	OFAC_SSI
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• Maintain a master list of customers, vendors and business partners and their screening history. • Centralize customer information files and automate screening against subscribed watch lists.



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### **Real-time Processing and Single Lookup**

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• Detect sanctioned entities, beneficial owners and their linkages in real time. Integrations supported with Simple Object Access Protocol (SOAP) API and **REST API.** 







Screen multiple items in batches.

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• Use Secure File Transfer Protocol (SFTP) in batch screening.



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List Date: 06/03/2024 Name Searched: Concord Caterin	ng		
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LU-0000023	6/5/2024, 3:54 PM	1	No Match	
LU-0000022	5/29/2024, 10:16 AM	1	Potential Match	•
LU-0000007	4/17/2024, 5:55 PM	1	Potential Match	•
LU-0000005	4/17/2024, 4:20 PM	1	Potential Match	•
LU-0000004	4/17/2024, 4:04 PM	1	No Match	
		View All		

### **Salesforce Plugin**

- Scan accounts, contacts, leads and opportunities against watch lists provided by CSI via API or batch.
- Store scanned items in the CDM in WatchDOG Elite for rescreening.
- Access an attachment with "Version 3" column data for customers using the on-prem version of WatchDOG Elite or prospects whose anticipated CDM volume prevents them from using Cloud Elite.





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### **Exact ID Matching**

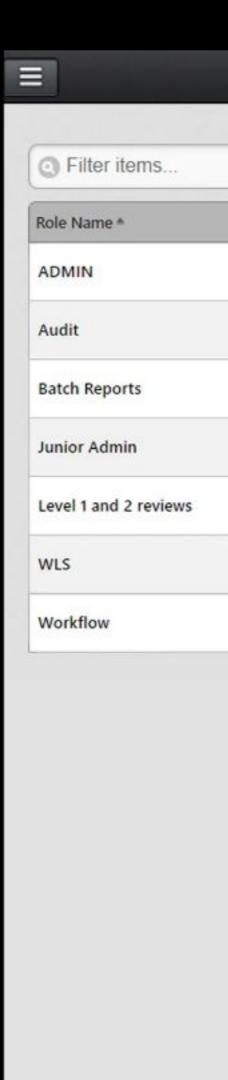
• Check Social Security numbers against the Social Security Administration's Death Master File (DMF).

 Match National Provider Identifiers (NPI) against the National Plan and Provider Enumeration System (NPPES) registry without needing additional information.

• Disqualify by address, year of birth or country.







Roles and Functions	
Role Description #	Status 🕸
WatchDOG Elite Admin Role	Active
View activity and run reports	Active
Pull reports from batch file scans	Active
No ERM, PNP, VM or Issue Management	Active
Review and workflow	Active
All functionality	Active
Access workflow items but not review alerts	Active



### User Management

- Create client-defined roles.
- Have an unlimited number of users or roles.
- Track scans or reviews by user.



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